•	
Attis Docket No. 04860.P2592  IN THE UNITED STATES PA	<u>PATENT</u> TENT AND TRADEMARK OFFICE
Application of:	
Andrew Gong, et al.	Examiner: Not yet assigned
Application No. 09/905,632	Art Unit: 2674
Filed: July 13, 2001	RECEIVED
For: METHODS AND APPARATUSES ) USING CONTROL INDICATORS ) FOR DATA PROCESSING SYSTEMS )	MAY 2 0 2004 Technology Center 2600
Commissioner for Patents P.O. Box 1450	rechnology demoi 2000

Alexandria, Virginia 22313-1450

## STATEMENT UNDER 37 C.F.R. §1.97(e)

Sir:

I hereby certify (as indicated by an "X" to the left of the appropriate paragraph):

That each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. That no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned attorney after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing the Information Disclosure Statement.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ames C. Scheller, Jr. Reg. No. 31,195

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025-1026 (408) 720-8300

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450

	•	9			
on _	May 13, 2004				
	Date of C	eposit			
	Connie Thaver	)			
Name of Person Mailing Correspondence					
	mie Marer	5-13-04			
	Signature	Date			

· 3601012-674

Atty. Docket No. 04860.P2592

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:

Andrew Gong, et al.

Application No. 09/905,632

Filed: July 13, 2001

For: METHOR

METHODS AND APPARATUSES USING CONTROL INDICATORS

FOR DATA PROCESSING SYSTEMS

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450

Examiner: Not yet assigned

Art Unit: 2674

RECEIVED

MAY 2 0 2004

Technology Center 2600

## INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

37	C.F.R.	§1.97(b)
 <i>J</i> ,	C.I .IV.	31.77(0)

<u>X</u>	37 C.F.R. §1.97(c). If so, then Disclosure Statement is one of the	
<u>X</u>	A statement pursuant to 37 C.F	.R. §1.97(e) <u>or</u>
	A check for \$ for the fee	under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then Disclosure Statement are the following	
	(1) A statement pursuant to	37 C.F.R. §1.97(e); and
	(2) A check for \$ for submission of the Info	_ for the fee under 37 C.F.R. §1.17(p) ormation Disclosure Statement.
If there a	Respectfully su BLAKELY, SC	OKOLOFF, TAYLOR & ZAFMAN LLI
12400 Wilshire Seventh Floor Los Angeles, CA (408) 720-8300		
I hereby certify that mail with sufficient	RST CLASS CERTIFICATE OF Mathis correspondence is being deposited with postage in an envelope addressed to the Classification on:  May 13, 2004  Date of Deposit	th the United States Postal Service as first class
	Name of Person Mailing Correspondence	4/
Connie	Signature Signature	5-/3-04 Date
	Digitature	Date

Substitute for Form 1449/PTO				Complete if Known				
TP INFORMATION DISCLOSURE			Application Number 09/905,632		5,632			
/o''	- 1				Filing Date 07/13/2001		/2001	
	STA	TEMENT BY	APPLICAN	1T	First Named Inventor:	Andre	ew Gong, et al.	
MAY 1	8 2004 5)	(use as many sheets a			Art Unit	2674	3,	
<b>.</b>	<i>`````````````````````````````````````</i>				Examiner Name	<del> </del>	et assigned	
Stephan	104	of		2	Attorney Docket No.		D.P2592	
205 ELVI	EMA	01			Altoniey Docker No.	04000	J.P2392	
			U.S. PATE	NT DOCUMENT	rs			
Exmnr Initials*	Cite No.1	Document N		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Docum	ent	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures	
		Number	Kind Code <sup>2</sup> (If known)				Appear	
		us-5,530,879	B1	06/25/1996	Crump et al.			
-11		US-		•		• • •	,	
		US-						
		US-			DE			
		US-			HE!	上上	$V \vdash U$	
		US-				•		
		US-			(AM	(20	2004	
		US-						
		US-			Technolo	an Ge	nter 2600	
		US-			1001111011	9, 0	11101 2000	
		US-			· ·			
		US-						
		US-						
		US-						
		US-		<u> </u>				

			FOREIGN PATE	ENT DOCUMENTS		
Exmnr Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		EP 0 623 869 A1	11/09/1994	Compaq Computer Corporation		
					-	

Examiner		Date	
Signature		Considered	

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

•				00000			
Substitute fo	r Form 14	149/PTO \	MYA.	1 0 mor 3	Сотр	lete if Known	
INFO	RMA	LION D	<b>ESCI</b>	OSLARE	Application Number	09/905,632	
			×47	RADEMA	Filing Date	07/13/2001	
SIAI		NT BY		-	First Named Inventor:	Andrew Gong, et al.	
	(use as r	nany sheets a	is necess	sary)	Art Unit	2674	
					Examiner Name	Not yet assigned	
Sheet	2	of		2	Attorney Docket No.	04860.P2592	
				NON PATENT LIT	ERATURE DOCUMENTS		
Examiner Initials*	Cite No <sup>1</sup>			nagazine, joumal, se	AL LETTERS), title of the article ( rial, symposium, catalog, etc.), da isher, city and/or country where p	ite, page(s), volume-issue	T <sup>2</sup>
		PCT Invita 2 April 200			es for PCT International Appln	No. US02/20692, mailed	,
		<u> </u>				RECEIVED	
						MAY 2 0 2004	
						Technology Center 260	0
-							
					,		
	<u> </u>						
Examiner				, , , , , , , , , , , , , , , , , , ,	I	Date	

Considered

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Signature

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.